Introductory sub-table (I)(a)

| Name of the Company: CAPFIN IND | IA LIMITED | | | |
|---|--|---|-------|--|
| Scrip Code: DSE-8985, JSEL: 832, MP | | close of | | |
| Quarter ended: 30/06/2014 | - Sand Gerrip, N.A | , class of security: EQUITY | · | |
| Partly paid-up shares:- | No. of partly paid-up shares | As a % of total no. of paid-up shares | artly | As a % of total no. of shares of the company |
| Held by promoter/promoter group | | | | |
| Held by public | 5853700 | | 0 | |
| | | | 100 | 16.97 |
| Total | 5853700 | | 100 | 4.000 |
| | The state of the s | | | 16.97 |
| Outstanding convertible securities:- | No. of outstanding securities | As a % of total no. of outstanding convertible securities | | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
| Held by promoter/promoter group Held by public | 0 | #DIV/0! | т | |
| reid by public | 0 | #DIV/0! | | 0 |
| Total | | | | 0 |
| 1000 | 0 | #DIV/0! | | 0 |
| Varrants:- | | As a % of total no. of warrants | | As a % of total no. of shares of the company, assuming full conversion of warrants |
| leld by promoter/promoter group | ol . | | | |
| leld by public | 0 | #DIV/0! | | 0 |
| | U U | #DIV/0! | | 0 |
| Total | 0 | #DIV/0! | | |
| otal paid-up capital of the | | | L | 0 |
| ompany, assuming full onversion of warrants and onvertible securities | 34500000 | | | |

| | | | Та | ng Sharehold ble (I)(a) | ing ratter | | | | |
|------------|--|------------------------|--|----------------------------|----------------------------|--|---------------------|---|--|
| cod | Shareholder | Number Sharehol ers | of Total | Number of | percenta | Total shareholding as a percentage of total number of shares | | Shares Pledged or otherwise encumbered | |
| | | | | | As a percentage of (A+B) 1 | As a percentage of (A+B+C) | Number of shares | As a percentage | |
| (I) (A) | (II) Shareholding of Promoter and | (111) | (IV) | (v) | (VI) | (VII) | (VIII) | (IX)= (VIII)/(IV)*100 | |
| 1 | Indian | | - | | | | | (VX)= (VIII)/(IV) 100 | |
| | | | | | | | | | |
| (a) | Individuals/ Hindu Undivided Family | | | | - | | | | |
| (b) | Central Government/ State | | 37900 | 0 | 1.10 | 1.10 | 0 | 0.00 | |
| (c) | Government(s) Bodies Corporate | | | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| (d) | Financial Institutions/ Banks | | | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| (e) | Any Others(Specify) | ` | 1 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| (e-i) | Directors & their relatives Sub Total(A)(1) | 3 | | 0 | 2.55 | 2.55 | 0 | 0.00 | |
| | Sub fotal(A)(1) | 4 | 125710 | 0 | 3.64 | 3.64 | 0 | 0.00 | |
| 2 | Foreign | | | | | | | | |
| a | Individuals (Non-Residents | | | | | | | | |
| b | Individuals/ Foreign Individuals) Bodies Corporate | 0 | | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| c | Institutions | 0 | | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| d | Qualified Foreign Investor | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| e | Any Others(Specify) Sub Total(A)(2) | 0 | | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| | | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| - | Total Shareholding of Promoter | 4 | 125710 | 0 | 3.64 | 3.64 | 0 | | |
| (B) | Public shareholding | | | | 0.01 | 3.04 | | 0.00 | |
| 1 | Institutions | | | | | | | | |
| (a) | Mutual Funds/ UTI | 0 | 0 | 0 | 0.00 | 0.00 | 0 | | |
| (b) (c) | Financial Institutions Banks | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| (0) | Central Government/ State Government(s) | 0 | | | | | | 0.00 | |
| (d) | Venture Capital Funds | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| (e) | Insurance Companies | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| (f) (g) | Foreign Institutional Investors Foreign Venture Capital Investors | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| (h) | Qualified Foreign Investor | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| (i) | Any Other (specify) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| | Sub-Total (B)(1) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| B 2 | Non-institutions | | | | | | | 0.00 | |
| (a) | Bodies Corporate | 30 | 975400 | 6000 | 20.27 | 20.00 | | | |
| (b) | Individuals | | | 0000 | 0.00 | 0.00 | 0 | 0.00 | |
| | Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 | 1 | | | | 0.00 | | 0.00 | |
| 1 | lakh | 1954 | 1171190 | 34994 | 70.05 | | | | |
| 11 | ii. Individual shareholders holding | | | 34994 | 33.95 | 33.95 | 0 | 0.00 | |
| | nominal share capital in excess of Rs. 1 lakh. | 26 | 1106400 | | | | | | |
| c) | Qualified Foreign Investor | 36 | 1106400 | 254800 0 | 32.07 | 32.07 | 0 | 0.00 | |
| d) | Any Other (specify) | | | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| | Trusts | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| -iii) | Directors & their Relatives ForeignNationals | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| | Hindu Undivided Families | 6 | 71300 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| - | Sub-Total (B)(2) | 2026 | 3324290 | 295794 | 2.07 96.36 | 2.07 96.36 | 0 | 0 | |
| - | Total Public Shareholding (B)= | | | | 30.00 | 20,30 | | 0.00 | |
| Б | (B)(1)+(B)(2) | 2026 | 3324290 | 295794 | 96.36 | 96.36 | 0 | 0.00 | |
| T | TOTAL (A)+(B) | 2030 | 3450000 | 295794 | 100.00 | 100.00 | 0 | 0.00 | |
| 1 | Shares held by Custodians and ngainst which Depository Receipts nave been issued | | | | | | | | |
| 2 1 | Promoter and Promoter Group | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | |
| | Sub-Total (C) | 0 | 0 | 0 | 0 | 0.00 | | 0.00 | |
| | | | 0 | 0 | 0 | 0 | 0 | | |
| 1.2 | GRAND TOTAL (A)+(B)+(C) | 2020 | 450000 | 295794 | | - | | | |

| Sr.No | Notes | |
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[I)(b) <u>Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"</u>

| Sr | , or circ | | f Shares held | | incumbered sha | res (*) | Details | of warrants | con | tails of vertible urities | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of |
|-----|-----------------------------|-----------------------------|-------------------------------------|-----|--------------------|--|--------------|-------------|---|--|--|
| | - | Number of shares held | As a % of grand total (A) +(B) +(C) | No. | As a percentage | As a % of grand total (A)+(B)+(C) of sub- clause (I)(a) | warrant s | total | Number of convert ible securiti es held | total number of converti ble securitie s of the same | diluted share capital |
| (1) | (II) | (111) | (IV) | (V) | (VI)=(V)/(III)*100 | (VII) | (VIII) | (IX) | (X) | class | |
| 2 | Piyush Kumar Sarita Devi | 37900 | 1.10 | 0 | 0.00 | 0.00 | 0 | 0 | (4) | (XI) | (XII) |
| 3 | | 10000 | 0.29 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 37900 |
| 1 | Meena Agarwal | 28010 | 0.81 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 10000 |
| TOT | Kashmeri Devi | 49800 | 1.44 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 28010 |
| TOT | AL | 125710 | 3.64 | 0 | 0.00 | 0.00 | 0 | | 0 | 0 | 49800 125710 |

^(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. N | o. Name of the shareholder | Number of shares held | Shares as a percentage of total number of shares (i.e., Grand Total | Details of the second s | of warrants | conv sect | ails of ertible urities | Total shares (including underlying shares assuming full conversion of warrants and |
|-------|---|--------------------------|--|--|--|---|---|---|
| | | | (A)+(B)+(C) indicated in Statement at para (I)(a) above} | of warrant s held | total number of warrants of the same class | Number of converti ble securitie s held | % w.r.t total number of convertibl e securities of the same class | convertible securities) as a % of diluted share capital |
| 2 | HSML Finance Ltd | 80,000 | 2.32 | 0 | 0 | | | |
| 3 | PEE AAR Leasing Credit Ltd | 40,000 | 1.16 | 0 | 0 | 0 | 0 | 80,000 |
| 4 | Nakora Leasing & Holding Pvt Ltd | 50,000 | 1.45 | 0 | 0 | 0 | 0 | 40,000 |
| 5 | Equity Portfolio Servicas Pvt Ltd | 40,000 | 1.16 | 0 | 0 | 0 | 0 | 50,000 |
| 6 | Equity Portfolio Servicas Pvt Ltd | 50,000 | 1.45 | 0 | 0 | 0 | 0 | 40,000 |
| 7 | YDS Securities Ltd | 100,000 | 2.90 | 0 | 0 | 0 | 0 | 50,000 |
| 8 | Ketki India Ltd | 40,000 | 1.16 | 0 | 0 | 0 | 0 | 100,000 |
| | Focus Stock Brokers Ltd. | 62,500 | 1.81 | 0 | 0 | 0 | 0 | 40,000 |
| 9 | Panna Con Induistries Pvt Ltd | 50,000 | 1.45 | 0 | 0 | 0 | 0 | 62,500 |
| 10 | Focus Stock Brokers Ltd. | 79,200 | 2.30 | 0 | 0 | 0 | 0 | 50,000 |
| 11 | M.Leasing and Financial Services Pvt Lt | 93,600 | 2.71 | 0 | 0 | 0 | 0 | 79,200 |
| 13 | Pradeep Kumar Aggarwal | 85,000 | 2.46 | 0 | 0 | 0 | | 93,600 |
| 14 | S R Sharma | 40,000 | 1.16 | 0 | 0 | 0 | 0 | 85,000 |
| 15 | Rajiv Uttam | 60,000 | 1.74 | 0 | 0 | 0 | 0 | 40,000 |
| - | Sanjeev Jain | 76,000 | 2.20 | 0 | 0 | 0 | 0 | 60,000 |
| 16 | Kaveri | 55,900 | 1.62 | 0 | 0 | 0 | 0 | 76,000 |
| 17 | Geeta Gupta | 41,900 | 1.21 | 0 | 0 | 0 | | 55,900 |
| 18 | Raghav | 39,100 | 1.13 | 0 | 0 | 0 | 0 | 41,900 |
| 19 | Jagjeet Kaur Malik | 111,600 | 3.23 | 0 | 0 | 0 | 0 | 39,100 |
| 20 | Madhu Agarwal | 40,100 | 1.16 | 0 | 0 | 0 | 0 | 111,600 |
| 21 | Santosh Agarwal | 38,800 | 1.12 | 0 | 0 | 0 | | 40,100 |
| | TOTAL | 1273700 | 36.92 | 0 | 0 | 0 | 0 | 38,800 1273700 |

[I)(c)(ii Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

| Sr. No. | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) | Details | of warrants | Details of | f convertible urities | Total shares (including underlying shares assuming full conversion of |
|---------|---|------------------------|---|------------------------------|---|------------|--|--|
| | | | indicated in Statement at para (I)(a) above} | Number of warrant s | As a % total number of warrants of the same class | convertibl | % w.r.t total number of convertible securities of the same class | warrants and convertible securities) as a % of diluted share capital |
| 1 | | | 0.00 | | #DIV/0! | | | |
| 2 | | | 0.00 | | #DIV/0! | + | #DIV/0! | |
| 3 | | | 0.00 | | #DIV/0! | | #DIV/0! | |
| 4 | | | 0.00 | | #DIV/0! | + | #DIV/0! | |
| 5 | | | 0.00 | | #DIV/0! | | #DIV/0! | |
| 6 | | | 0.00 | | #DIV/0! | | #DIV/0! | |
| 7 | | | 0.00 | | #DIV/0! | | #DIV/0! | |
| 8 | and the parameters of the second | | 0.00 | | #DIV/0! | | #DIV/0! | |
| 9 | | | 0.00 | | #DIV/0! | + | #DIV/0! | |
| 10 | | | 0.00 | | #DIV/0! | | #DIV/0! | |
| | | | | | | | #DIV/0! | |
| OTAL | | | | | | | | |
| UIAL . | | 0 | 0.00 | 0 | #DIV/0! | 0 | #DIV/0! | (|

(l)(d) Statement showing details of locked-in shares

| Number of focked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Tota (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--|---|
| | 0.00 |
| | 0.00 |
| and the same of th | 0.00 |
| | 0.00 |
| | 0.00 |
| | 0.00 |
| | 0.00 |
| | 0.00 |
| | 0.00 |
| | |
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(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) |
|---------|---|--|--|---|
| 1 | | | above} | |
| 2 | | | | 0.00 |
| 3 | 2012 2012 2012 2012 2012 2012 2012 2012 | | | 0.00 |
| 4 | | | | 0.00 |
| 5 | | | | 0.00 |
| _ 6 | | | | 0.00 |
| 7 | | | | 0.00 |
| 8 | | | The state of the s | 0.00 |
| 9 | | - | and the second s | 0.00 |
| | | The second secon | | 0.00 |
| OTAL | | | | |
| | | 1 0 | 0 | 0.00 |

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the was a state of the

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

| Sr. No. | Name of the DR Holder | DR (ADRs, GDRs, SDRs, | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---------|--|-----------------------|--|---|
| 1 | | | | . ()(.)) |
| 2 | | | | 0.00 |
| 3 | | | and the same of th | 0.00 |
| 4 | | | The state of the s | 0.00 |
| 5 | | | | 0.00 |
| 6 | | | to a market of the second state of the second | 0.00 |
| 7 | The second secon | | | 0.00 |
| 8 | and the state of t | | | 0.00 |
| 9 | | | | 0.00 |
| | | | | 0.00 |
| OTAL | | | | |
| UIAL | | | 0 | 0.00 |

Place & New Dellas Date & 30/07/2014

For CAPFIN INDIA LIMITED